



GC/2182

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/885,817

Filed: June 19, 2001

Inventor(s):

Shayne Young

Title: METHODS AND
SYSTEMS FOR
IMPLEMENTING A
FORWARD CONVERSION
SECURITIES STRATEGY

§ Examiner: Unknown
§ Group/Art Unit: 2182
§ Atty. Dkt. No: 5226-01600

CERTIFICATE OF MAILING
UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT: 11-13-01

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents
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TRANSMITTAL OF DOCUMENTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant encloses the following:

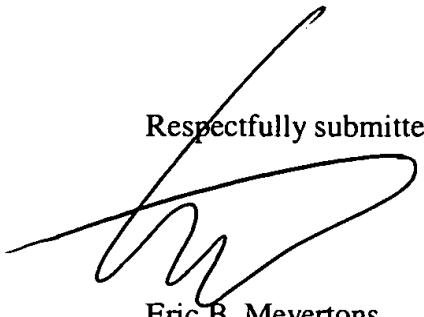
- (1) Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. 1.9(F) and 1.27(C)) – Small Business Concern (2 pages);
- (2) Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (3 pages);
- (3) A return post card.

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Technology Center 2100

Shayne Young
09/885,817

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5226-01600/EBM.

Respectfully submitted,



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